

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-5(a)

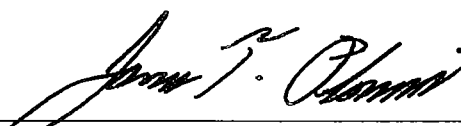
IN THE MATTER OF THE REQUEST OF :
A CASINO KEY EMPLOYEE LICENSEE : **ORDER**
TO BE PLACED ON THE CASINO KEY :
EMPLOYEE INACTIVE LIST :

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Elliot J. Farber, a licensed casino key employee, pursuant to *N.J.S.A. 5:12-89*, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C. 19:41A-6.1(e)1* in lieu of complying with the resubmission process set forth in *N.J.S.A. 5:12-94h*; and the Commission having considered this request at its public meeting of **September 4, 2019**;

IT IS on this **4th** day of **September 2019**, **ORDERED** that Elliot J. Farber, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period not to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-5(b)

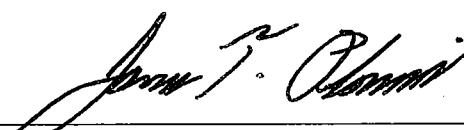
IN THE MATTER OF THE REQUEST OF :
A CASINO KEY EMPLOYEE LICENSEE : **ORDER**
TO BE PLACED ON THE CASINO KEY :
EMPLOYEE INACTIVE LIST :

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Erin L. White, a licensed casino key employee, pursuant to *N.J.S.A. 5:12-89*, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C. 19:41A-6.1(e)1* in lieu of complying with the resubmission process set forth in *N.J.S.A. 5:12-94h*; and the Commission having considered this request at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019**, **ORDERED** that Erin L. White, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period not to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-5(c)

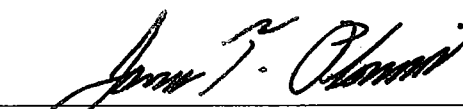
IN THE MATTER OF THE REQUEST OF :
A CASINO KEY EMPLOYEE LICENSEE : **ORDER**
TO BE PLACED ON THE CASINO KEY :
EMPLOYEE INACTIVE LIST :

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Robert L. Williams, a licensed casino key employee, pursuant to *N.J.S.A. 5:12-89*, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C. 19:41A-6.1(e)1* in lieu of complying with the resubmission process set forth in *N.J.S.A. 5:12-94h*; and the Commission having considered this request at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019**, **ORDERED** that Robert L. Williams, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period not to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-5(d)

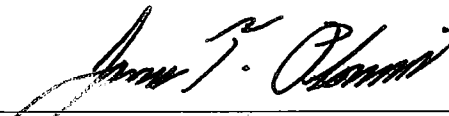
IN THE MATTER OF THE REQUEST OF :
A CASINO KEY EMPLOYEE LICENSEE : **ORDER**
TO BE PLACED ON THE CASINO KEY :
EMPLOYEE INACTIVE LIST :

This matter having been submitted to the New Jersey Casino Control Commission (Commission) by Luisa M. Woods, a licensed casino key employee, pursuant to *N.J.S.A. 5:12-89*, for consideration and approval to be placed on an inactive list in accordance with the provisions of *N.J.A.C. 19:41A-6.1(e)1* in lieu of complying with the resubmission process set forth in *N.J.S.A. 5:12-94h*; and the Commission having considered this request at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019, ORDERED** that Luisa M. Woods, a casino key employee licensee, be placed on the Casino Key Employee Inactive List for a period not to exceed five (5) years; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(a)

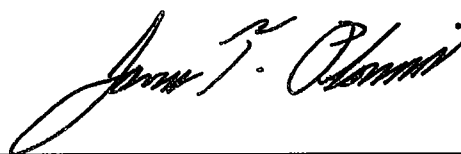
IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Wendy M. Barron for failure to comply with the resubmission process set forth in *N.J.S.A. 5:12-94h*, failure to request inactive status as set forth in *N.J.A.C. 19:41A-6.1(e)*, and in accordance with *N.J.A.C. 19:41A-6.1(f)*; and the Commission having considered this matter at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019**, **ORDERED** that Wendy M. Barron's casino key employee license, 009552-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(b)

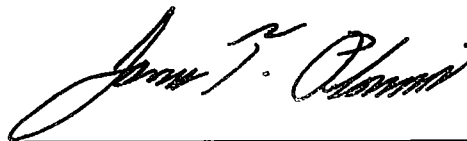
IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Girard N. DiRusso for failure to comply with the resubmission process set forth in *N.J.S.A. 5:12-94h*, failure to request inactive status as set forth in *N.J.A.C. 19:41A-6.1(e)*, and in accordance with *N.J.A.C. 19:41A-6.1(f)*; and the Commission having considered this matter at its public meeting of **September 4, 2019;**

IT IS on this 4th day of **September 2019, ORDERED** that Girard N. DiRusso's casino key employee license, 006312-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(c)

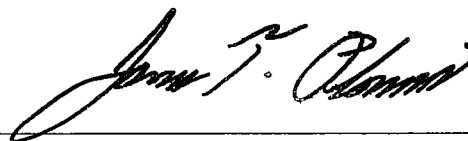
IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Steve Giblin for failure to comply with the resubmission process set forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and the Commission having considered this matter at its public meeting of **September 4, 2019;**

IT IS on this **4th** day of **September 2019, ORDERED** that Steve Giblin's casino key employee license, 006273-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(d)

IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of John A. Grobosky for failure to comply with the resubmission process set forth in *N.J.S.A. 5:12-94h*, failure to request inactive status as set forth in *N.J.A.C. 19:41A-6.1(e)*, and in accordance with *N.J.A.C. 19:41A-6.1(f)*; and the Commission having considered this matter at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019**, **ORDERED** that John A. Grobosky's casino key employee license, 006340-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(e)

IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Michael E. Kelly for failure to comply with the resubmission process set forth in *N.J.S.A. 5:12-94h*, failure to request inactive status as set forth in *N.J.A.C. 19:41A-6.1(e)*, and in accordance with *N.J.A.C. 19:41A-6.1(f)*; and the Commission having considered this matter at its public meeting of **September 4, 2019**;

IT IS on this 4th day of **September 2019**, **ORDERED** that Michael E. Kelly's casino key employee license, 009545-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(f)

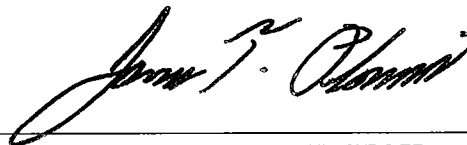
IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Hitul Patel for failure to comply with the resubmission process set forth in N.J.S.A. 5:12-94h, failure to request inactive status as set forth in N.J.A.C. 19:41A-6.1(e), and in accordance with N.J.A.C. 19:41A-6.1(f); and the Commission having considered this matter at its public meeting of **September 4, 2019;**

IT IS on this 4th day of **September 2019, ORDERED** that Hitul Patel's casino key employee license, 009555-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
ORDER NO. 19-09-04-6(g)

IN THE MATTER OF THE LAPSING OF :
A CASINO KEY EMPLOYEE LICENSE :
FOR FAILURE TO COMPLY WITH THE : **ORDER**
RESUBMISSION PROCESS OR TO :
REQUEST TO BE PLACED ON THE :
INACTIVE LIST :

This matter having been undertaken by the New Jersey Casino Control Commission (Commission) for the lapsing of the casino key employee license of Martin T. Rodgers for failure to comply with the resubmission process set forth in *N.J.S.A. 5:12-94h*, failure to request inactive status as set forth in *N.J.A.C. 19:41A-6.1(e)*, and in accordance with *N.J.A.C. 19:41A-6.1(f)*; and the Commission having considered this matter at its public meeting of **September 4, 2019**;

IT IS on this **4th** day of **September 2019**, **ORDERED** that Martin T. Rodgers' casino key employee license, 007915-11, is hereby **LAPSED**; and

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested persons.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
DOCKET No. 19-0019-CK
CREDENTIAL No. 010026-11
ORDER No. 19-09-04-07 (TMP)

I/M/O THE INITIAL APPLICATION OF :
DAVID R. EDWARDS :
FOR A CASINO KEY EMPLOYEE LICENSE :

ORDER

The Division of Gaming Enforcement (“**Division**”), having initially objected to the initial application of David R. Edwards (“**Applicant**”) for casino key employee license #010026-11; and the Division and Applicant having entered into a Stipulation of Settlement (“**Stipulation**”) which was submitted to the New Jersey Casino Control Commission (“**Commission**”); and the Commission being unaware of Applicant having been involved in any other matters inconsistent with licensure; and the Commission having considered this matter in its entirety at its public meeting on September 4, 2019;

IT IS on this **4th** day of **September 2019**, **ORDERED** that the Stipulation, which is incorporated herein by reference, is **APPROVED** and the initial application for casino key employee license #010026-11 is **GRANTED**; and

IT IS FURTHER ORDERED that Applicant has established his qualifications for licensure as a casino key employee as required by N.J.S.A. 5:12-89(b)(1) and -89(b)(2) of the Casino Control Act (“**Act**”) based on the credible evidence submitted including Applicant’s voluntary agreement that he remain compliant with his New Jersey Division of Labor and Workforce Development (“**NJ LWD**”) payment plan and

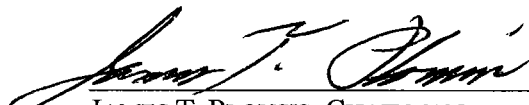
provide proof to the Division of such compliance on an annual basis beginning July 15, 2020; and

IT IS FURTHER ORDERED that the granting of Applicant's initial casino key employee license application is specifically conditioned on Applicant providing proof to the Division of his compliance with this NJ LWD payment plan on an annual basis beginning July 15, 2020, by sending appropriate documentation to:

Division of Gaming Enforcement
ATTN: Brian C. Bisciegia, Deputy Attorney General
1300 Atlantic Avenue
Atlantic City, NJ 08401
Email: brian.bisciegia@njdge.org.

Applicant shall provide such annual reports to the Division until his NJ LWD debt is paid in full or otherwise satisfied. Failure of Applicant to abide by any of the conditions set forth herein shall constitute grounds to re-open this matter.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
DOCKET NO. 19-0016-CK
CREDENTIAL NO. 010091-11
ORDER NO. 19-09-04-08 (TMP/TMP)

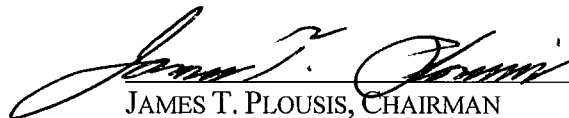
I/M/O THE INITIAL APPLICATION :
OF BRANDY L. DUNNE :
FOR A CASINO KEY EMPLOYEE LICENSE :

ORDER

The Division of Gaming Enforcement (“**Division**”), having objected to the initial application of Brandy L. Dunne (“**Applicant**”) for casino key employee license #10091-11; and Applicant having requested that she be permitted to withdraw her pending initial application for a casino key employee license; and this matter having been submitted to the New Jersey Casino Control Commission (“**Commission**”); and the Division having interposed no objection to Applicant’s request; and the Commission having considered this matter at its public meeting on September 4, 2019;

IT IS on this **4th** day of **September 2019**, **ORDERED** that Applicant’s initial application for casino key employee license #10091-11 is **withdrawn** subject to the condition that Applicant may not apply for or hold a casino key employee license for a period of four (4) years from the date of this Order.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
DOCKET No. 19-0013-CK
CREDENTIAL NO. 009994-11
ORDER No. 19-09-04-09 (TMP)

I/M/O THE INITIAL APPLICATION OF :
CARMELO MAYSONET, III :
FOR A CASINO KEY EMPLOYEE LICENSE :

ORDER

The Division of Gaming Enforcement (“**Division**”), having initially objected to the initial application of Carmelo Maysonet, III (“**Applicant**”) for casino key employee license #009994-11; and the Division and Applicant having entered into a Stipulation of Settlement (“**Stipulation**”) which was submitted to the New Jersey Casino Control Commission (“**Commission**”); and the Commission being unaware of Applicant having been involved in any other matters inconsistent with licensure; and the Commission having considered this matter in its entirety at its public meeting on September 4, 2019;

IT IS on this **4th** day of **September 2019**, **ORDERED** that the Stipulation, which is incorporated herein by reference, is **APPROVED** and the initial application for casino key employee license #009994-11 is **GRANTED**; and

IT IS FURTHER ORDERED that Applicant has established his qualifications for licensure as a casino key employee as required by N.J.S.A. 5:12-89(b)(1) and -89(b)(2) of the Casino Control Act (“**Act**”) based on the credible evidence submitted including Applicant’s voluntary agreement to enter into a payment plan with the Internal Revenue Service (“**IRS**”) and the New Jersey Division of Taxation (“**NJ Tax**”) by December

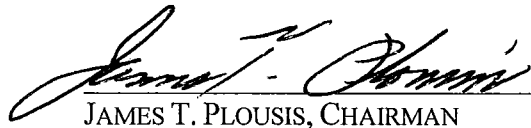
15, 2019, and provide proof to the Division on an annual basis beginning December 15, 2020 that he is compliant with both his IRS and NJ Tax payment plans; and

IT IS FURTHER ORDERED that the granting of Applicant's initial casino key employee license application is specifically conditioned on Applicant providing proof to the Division of having entered into a payment plan with the IRS and NJ Tax by December 15, 2019 and is further conditioned on demonstrating to the Division his compliance with both his IRS and NJ Tax payment plans on an annual basis beginning December 15, 2020, by sending appropriate documentation to:

Division of Gaming Enforcement
ATTN: Brian C. Bisciegli, Deputy Attorney General
1300 Atlantic Avenue
Atlantic City, NJ 08401
Email: brian.bisciegli@njdge.org.

Applicant shall provide such annual reports to the Division until his IRS and NJ Tax debts are paid in full or otherwise satisfied. Failure of Applicant to abide by any of the conditions set forth herein shall constitute grounds to re-open this matter.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
DOCKET No. 19-0017-CK
CREDENTIAL No. 09983-11
ORDER No. 19-09-04-10 (TMP/TMP)

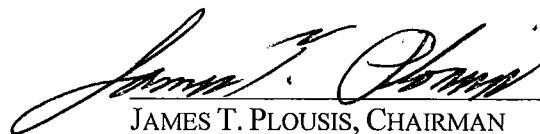
I/M/O THE INITIAL APPLICATION :
OF LYNDA GLEISNER :
FOR A CASINO KEY EMPLOYEE LICENSE :

ORDER

The Division of Gaming Enforcement (“**Division**”), having objected to the initial application of Lynda Gleisner (“**Applicant**”) for casino key employee license #09983-11; and Applicant having failed to request a hearing or otherwise respond to the Division’s objections; and this matter having been submitted to the New Jersey Casino Control Commission (“**Commission**”); and the Commission having considered this matter at its public meeting on September 4, 2019;

IT IS on this **4th** day of **September 2019**, **ORDERED** that the initial application for casino key employee license #009983-11 is hereby **DENIED** and Applicant is hereby found **DISQUALIFIED** pursuant to N.J.S.A. 5:12-80, N.J.S.A. 5:12-86 and N.J.S.A. 5:12-89.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
AGENCY DOCKET No.: 19-0021-CK AND 19-0022-RC
CREDENTIAL No.: 9969-11
ORDER No.: 19-09-04-11 (TMP/tmp)

STATE OF NEW JERSEY, DEPARTMENT OF	:	
LAW AND PUBLIC SAFETY, DIVISION OF	:	
GAMING ENFORCEMENT,	:	
COMPLAINANT,	:	ORDER
v.	:	
	:	
LAN LIN	:	
RESPONDENT.	:	

An application for suspension and a complaint for revocation of the casino key employee license #9969-11 of Lin Lan (“**Respondent**”) having been filed by the Division of Gaming Enforcement (“**Division**”); and the Division having objected to the initial application for casino key employee license #9969-11; and the New Jersey Casino Control Commission (“**Commission**”) having issued an Order suspending Respondent’s casino key employee license #9969-11 on July 10, 2019; and Respondent having requested a contested case hearing to respond to the Division’s allegations; and the Division and Respondent having entered into a stipulation of settlement (“**Stipulation**”); and the Commission having considered the stipulation at its public meeting of September 4, 2019,

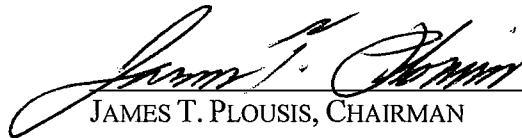
IT IS on this *4th* day of *September 2019*, **ORDERED** that the stipulation, which is incorporated herein by reference, is **APPROVED**; and

ORDER No.: 19-09-04-11 (TMP/tmp)

IT IS FURTHER ORDERED that Respondent is hereby found **DISQUALIFIED** pursuant to N.J.S.A. 5:12-80 and -89(b) and therefore her initial application for casino key employee license #9969-11 is **DENIED**; and

IT IS FURTHER ORDERED that Respondent may apply for a casino key employee license at any time after September 4, 2024 unless otherwise permitted by law.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN

New Jersey Casino Control Commission

Resolution



No. 19-09-04-12

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION CONCERNING THE PLENARY QUALIFICATION OF PAUL JUDE SALEM TO SERVE AS AN OUTSIDE DIRECTOR OF MGM RESORTS INTERNATIONAL

WHEREAS, pursuant to N.J.S.A. 5:12-85.1c and d, and N.J.A.C. 13:69C-2.7, certain officers, directors, security holders, principal employees and other persons with the ability to control a casino licensee or a holding company thereof must be individually qualified for approval under the standards, but for residence, governing casino key employees (N.J.S.A. 5:12-89); and

WHEREAS, Paul Jude Salem serves as an Outside Director of MGM Resorts International (MGM) and is therefore required to be qualified; and

WHEREAS, on December 5, 2018, the New Jersey Casino Control Commission (Commission), with the recommendation of the Division of Gaming Enforcement (Division) granted temporary qualification and authorized Mr. Salem to assume the duties and exercise the powers of Outside Director of MGM (Resolution No. 18-12-05-09); and

WHEREAS, the Division filed a letter report dated August 5, 2019, recommending that the Commission find Mr. Salem plenary qualified; and

WHEREAS, the Commission considered the matter at its public meeting on September 4, 2019;



Resolution No. 19-09-04-12

Page 2

NOW, THEREFORE, BE IT RESOLVED by the Commission that Paul Jude Salem is hereby found qualified to serve as an Outside Director of MGM in accordance with and subject to the conditions contained in N.J.S.A. 5:12-85.1c and -d, -89b(1) and (2) and N.J.A.C. 13:69C-2.7.

Submitted by:

Teresa M. Pimpinelli
Senior Counsel

CERTIFICATION

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Dianna W. Fauntleroy, Esq.
Executive Secretary

Meeting of September 4, 2019
Vote taken on pages 30-31

New Jersey Casino Control Commission



Resolution

No. 19-09-04-13

RESOLUTION OF THE NEW JERSEY CASINO CONTROL COMMISSION
CONCERNING THE PLENARY QUALIFICATION AND
CASINO KEY EMPLOYEE LICENSURE OF
ROBERT ROSATI TO SERVE AS
VICE PRESIDENT OF CASINO MARKETING FOR
DGMB CASINO, LLC

WHEREAS, pursuant to N.J.S.A. 5:12-85.1c and -d, N.J.A.C. 13:69C-2.6 and/or -2.7, certain officers, directors, security holders, principal employees and other persons with the ability to control a casino licensee or a holding company thereof must be individually qualified for approval under the standards, but for residency, governing casino key employees (N.J.S.A. 5:12-89); and

WHEREAS, on December 5, 2018, the New Jersey Casino Control Commission (Commission), with the recommendation of the Division of Gaming Enforcement (Division), granted Robert Rosati temporary casino key employee license #10105-11, pursuant to N.J.S.A. 5:12-89(e), and found Mr. Rosati temporarily qualified to serve as Vice President of Casino Marketing of casino licensee DGMB Casino, LLC d/b/a Resorts Casino Hotel (DGMB) (Resolution No. 18-07-11-10); and

WHEREAS, the Division filed a letter report dated August 26, 2019, recommending that the Commission find Mr. Rosati plenary qualified to serve



as Vice President of Casino Marketing for DGMB and grant his initial application for casino key employee license #10105-11; and

WHEREAS, the Commission considered the matter in its entirety at its public meeting on September 4, 2019;

NOW, THEREFORE, BE IT RESOLVED by the Commission that Robert Rosati is hereby found plenary qualified to serve as Vice President of Casino Marketing for DGMB, and his initial application for casino key employee license #10105-11 is hereby granted, in accordance with and subject to the conditions contained in N.J.S.A. 5:12-85.1, -89a and -b and N.J.A.C. 13:69C-2.6.

Submitted by: Teresa M. Pimpinelli

Teresa M. Pimpinelli
Senior Counsel

CERTIFICATION

I HEREBY CERTIFY that this Resolution correctly reflects the decision of the New Jersey Casino Control Commission.

Dianna W. Fautleroy
Dianna W. Fautleroy, Esq.
Executive Secretary

Meeting of September 4, 2019
Vote taken on pages 32-33.

STATE OF NEW JERSEY
CASINO CONTROL COMMISSION
QUALIFIER NO. 4543-03

ORDER NO. 19-09-04-14

IMO THE APPLICATION OF :
FRANK MICHAEL RUOCCO, JR. : ORDER
FOR QUALIFICATION :

Frank Michael Ruocco, Jr. ("Ruocco") having filed an application for qualification in connection with the casino license application of AC Ocean Walk LLC ("AC Ocean") as the sole member of Winding Trail, LLC ("Winding Trail") which the Director of the Division of Gaming Enforcement ("Division") by Order 1746 dated June 6, 2018, designated as a qualifier as a security holder of AC Ocean; and the New Jersey Casino Control Commission ("Commission") on June 21, 2018 having granted plenary casino licensure to AC Ocean subject to 26 conditions, with Condition 25 pertaining specifically to Winding Trail and Ruocco (Commission Resolution No. 18-06-20-00), which condition was renewed upon approval of the AC Ocean Divestiture Trust Agreement and related actions (Commission Resolution No. 19-02-06-17); and the Division having filed its Plenary Report in connection with the qualification of Ruocco on July 31, 2019 in which it takes no position regarding the application of Ruocco for qualification; and the

Commission having remanded the matter to the contested case process at its public meeting of September 4, 2019; and Ruocco, directly and through Counsel, William J. Pascrell, III, having consented to the imposition of Conditions on his qualification as recommended by Commission staff; and the Commission having considered this matter at its public meeting of September 4, 2019;

IT IS on the **4th** day of **September 2019, ORDERED** that Frank Michael Ruocco, Jr. is hereby found qualified in connection with the casino license application of AC Ocean subject to the following conditions:

1. Qualifier shall not participate in any gambling activity of any type whatsoever in any jurisdiction, including Atlantic City, unless and until this condition is modified by Order of the Commission.
2. Qualifier shall provide proof to the Commission and the Division of the final payment of \$300,000.00 for outstanding markers which were due to Resorts World Bimini by July 31, 2019 within ten (10) days of the entry of this Order.
3. Qualifier shall provide proof to the Commission and the Division of quarterly payments of \$100,000.00 to Caesars Palace and Caesars Atlantic City properties for outstanding gambling debt incurred at Caesars Palace in Las Vegas and the Caesars Atlantic City properties within ten (10) days of each due date commencing

with the September 30, 2019 payment continuing through June 30, 2021 when the final payment is due.

4. Qualifier acknowledges that as a result of the Division's review of his Federal and New Jersey state tax returns for years 2013 through 2017, the records of Winding Trail LLC for the years 2016 and 2017 are being reviewed by Qualifier's accounting firm, Marcum LLP, and amended tax returns will be filed if necessary.
5. Qualifier shall provide the Commission and the Division with a copy of any written review provided by Marcum LLP within ten (10) days of completion. Qualifier further agrees to prepare and file any required amended 2016 and 2017 Federal and state tax returns within thirty (30) days of receipt of the Marcum LLP review and provide the Commission and the Division with a copy of any amended returns within ten (10) days of filing. Qualifier agrees that any outstanding liability due as a result of the amended returns shall be satisfied by payment in full or through entry into a satisfactory installment payment agreement. Qualifier shall provide proof of payment or proof of a satisfactory installment payment agreement within sixty (60) days of the filing of any amended returns. If Qualifier enters into an installment payment agreement, he shall provide the Commission and the

Division with proof of each installment payment within ten (10) days of such payment.

6. Qualifier acknowledges that he has been granted an extension to file his 2018 tax returns. Qualifier shall provide the Commission and the Division with a copy his 2018 Federal and New Jersey state tax return within ten (10) days of filing. Qualifier shall satisfy any outstanding obligation by payment in full or through entry into a satisfactory installment payment agreement. Qualifier shall provide proof of payment or proof of a satisfactory installment payment agreement within sixty (60) days of the filing of the 2018 Federal and state tax returns. If Qualifier enters into an installment payment agreement, he shall provide the Commission and the Division with proof of each installment payment within ten (10) days of such payment.
7. Qualifier acknowledges that he, the Abrams Trust and Earth Technology entered into a settlement agreement with Hemmerdinger Corp. in the amount of \$3,000,000.00 in satisfaction of a judgment obtained against Qualifier, the Abrams Trust and Earth Technology after a jury trial and verdict in the matter of Hemmerdinger Corp. v. Ruocco, 976 F. Supp.2d 401 (E.D.N.Y. 2013). Qualifier acknowledges that as of July 31, 2019, \$1.2 million of the settlement amount has been paid and \$1.8

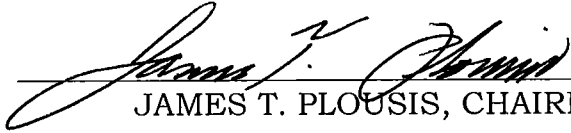
million remains outstanding. Qualifier shall provide proof of payment to the Commission and the Division within ten (10) days of each installment payment due date commencing with the installment payment due on December 15, 2019.

8. Qualifier shall notify the Commission and the Division immediately upon receipt of any notice of delinquency or default on any obligation regarding any of the matters addressed herein.
9. Qualifier shall notify the Commission and the Division immediately upon receipt of any notice from the Internal Revenue Service regarding any matters addressed herein.
10. Qualifier shall cooperate fully with the Commission and the Division on furnishing any information required regarding any of the matters addressed herein.
11. Qualifier understands and agrees that the Division retains the right under the Casino Control Act to initiate legal action or object to a future application for casino key employee licensure without limitation if Ruocco is found to have been arrested, charged, convicted and/or is otherwise determined to have been involved in any criminal activity or for any other subsequent development which affects Ruocco's suitability.
12. Qualifier understands and agrees that the Commission is not precluded from reviewing and considering any facts, including

those discussed in the Division's report, in any future proceeding relating to any application for licensure or qualification of Ruocco.

IT IS FURTHER ORDERED that a copy of this Order be served upon all interested parties.

NEW JERSEY CASINO CONTROL COMMISSION



JAMES T. PLOUSIS, CHAIRMAN